

IN THE UNITED STATES PATENCAND TRADEMARK OFFICEN 7006

Applicants:

Buser-Doepner et al.

Serial No.:

10/520,492

Case No.: 21125YP

Filed:

January 7, 2005

For:

Mitotic Kinesin Binding Site

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Sir:

In compliance with the Notification of Missing Requirements dated 12/13/2005 Applicants hereby submit the executed Declaration and Power of Attorney in the above-referenced patent application.

Please charge deposit account 13-2755 the surcharge of \$130.00 for the submission of this document.

Further to the Notification referenced above, Applicants are unclear as to the requirement of a \$200.00 fee for 1 independent claim over 3. According to the Transmittal Concerning a Filing Under 35 USC 371 (see page 2), the fee for 4 independent claims was already paid through Deposit Account 13-2755. A copy of the Transmittal is enclosed with this response. Applicants respectfully suggest that the matter of an additional claim fee needs further clarification. As such, no authorization to pay the additional fee is given with this response.

02/02/2006 MKAYPAGH 00000162 132755 10520492

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Respectfully submitted,

By

Vineet Kohli, Registration No. 37,003 Attorney for Applicants

MERCK & CO., INC.

P.O. Box 2000

Rahway, New Jersey 07065-0907 Telephone No. (732) 594-3889

Date: January 26, 2006

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

nec and 110	30 J	an 201	App S. S. Patent and Tradem	Substitute for PT	O/SB/21 (09-04)"Transmittal Form" ough 07/31/2006. OMB 0651-0031 DEPARTMENT OF COMMERCE		
OIPE			Application Number	10/520,492			
JAN 3 0 2006 FORM			Filing Date	January 7, 2005			
			First Named Inventor	Carolyn A. Buser-Doepner et al.			
		Art Unit					
for all correspondence after	nitial filing)	T	Examiner Name				
Total Number of Pages in This Submission	1	16	Attorney Docket Number	21125YP			
	ENCL	OSURES	(Check all that apply	v)			
Fee Transmittal Form	☐ Drawing(s) ☐ Licensing-related Papers ☐ Petition ☐ Petition to Convert to a Provisional Application ☐ Power of Attorney, Revocation Change of Correspondence Address ☐ Terminal Disclaimer				Allowance Communication nology Center (TC)		
Fee Attached				Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter			
✓ Amendment/Reply ☐ After Final							
Affidavits/declaration(s)							
Extension of Time Request							
Express Abandonment Request	Requ	uest for Ref	und	Other Enclosure(s) (please Identify below):			
☐ Information Disclosure Statement	CD,	Number of	CD(s)				
Certified Copy of Priority Document(s)	Remarks						
Response to Missing Parts/ Incomplete Application	Transmitting: Response to Notification of Missing Requirements Executed Declaration & Power of Attorney (2) Copy of Notification of Missing Requirements Copy of Transmittal Letter Concerning a Filing Under 35 USC 371						
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATU	JRE OF A	APPLICAN	NT, ATTORNEY, OR	AGENT			
Vineet Kohli			Registration No. (Attorn	ey/Agent)	37,003		
Signature Months				Date	1/26/2006		
V							
CERT	IFICATE	OF TRAN	NSMISSION/MAILING				

	CERTIFICATE OF TRAN	NSMISSION/MAILIN	G	`
I hereby certify that this corresp Postal Service with sufficient po P.O. Box 1450, Alexandria, Vir	stage as first class mail in an	envelope addressed to:		tates
Typed or printed name Terri Schiffn	nan			
Signature Jerri	Schiffmon	Date	01/26/06	



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/520,492

Carolyn A Buser-Doepner

21125YP

INTERNATIONAL APPLICATION NO

I.A. FILING DATE

PCT/US03/21145 PRIORITY DATE

07/03/2003

07/08/2002

DEC 1 6 2005

THEN THE A OUT FIL

CONFIRMATION NO. 7022

371 FORMALITIES LETTER

OC000000017638489

Date Mailed: 12/13/2005

MERCK AND CO., INC

RAHWAY, NJ 07065-0907

P O BOX 2000

210

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/07/2005
- Copy of the International Search Report filed on 01/07/2005
- Copy of IPE Report filed on 01/07/2005
- Preliminary Amendments filed on 01/07/2005
- Biochemical Sequence Diskette filed on 01/07/2005
- Oath or Declaration filed on 01/07/2005
- Biochemical Sequence Listing filed on 01/07/2005
- U.S. Basic National Fees filed on 01/07/2005
- Priority Documents filed on 01/07/2005
- Power of Attorney filed on 01/07/2005
- Specification filed on 01/07/2005
- Claims filed on 01/07/2005
- Abstracts filed on 01/07/2005
- Drawings filed on 01/07/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application

by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

■ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$200 for a Large Entity:

- Total additional claim fee(s) for this application is \$ 200
 - \$200 for 1 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.		
10/520,492	PCT/US03/21145	21125YP		

FORM PCT/DO/EO/905 (371 Formalities Notice)

l 🗥 .	TENT AND TRADEMARK OFFICE DEPARTMENT OF COMMERCE	ATTORNEY'S DOCKET NUMBER			
	21125YP				
TRANSMITTAL LETTER	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)				
	ED OFFICE (DO/EO/US) NG UNDER 35 U.S.C. 371	DOCKETED			
INTERNATIONAL APPLICATION NO.	<u>, </u>	PRIORITY DATE CLAIMED			
PCT/US2003/021145	03 July 2003	08 July 2002 JAN 1 0 2005			
TITLE OF INVENTION					
MITOTIC KINESIN BINDING SITE	COP	LORI SCHEPISI			
APPLICANT(S) FOR DO/EO/US Carolyn A. Buser-Doepner; Paul J. Coleman; C	Christopher D. Cox; Mark E. Fraley; Robert M. C	Garbaccio; George D. Hartman; David C. Heimbrook; Lawrence			
C. Kuo; Hans E. Huber; Vinod V. Sardana; Ma	aricel Torrent, Youwei Yan				
Applicant herewith submits to the other information:	United States Designated/Elected Of	fice (DO/EO/US) the following items and			
1. X This is a FIRST submission	of items concerning a filing under 35 l	U.S.C. 371.			
2. This is a SECOND or SUB	SEQUENT submission of items concer	rning a filing under 35 U.S.C. 371.			
3. This is an express request to include items (5), (6), (9) are		s [35 U.S.C. 371(f)]. The submission must			
4. The US has been elected (P					
l <u> </u>	Application as filed [35 U.S.C. 371(c)(2)1			
	equired only if not communicated by the				
	ted by the International Bureau.	ŕ			
1 =	e application was filed in the United Sta	ates Receiving Office (RO/US).			
6. An English language transla	ation of the International Application as	s filed [35 U.S.C. 371(c)(2)].			
	submitted under 35 U.S.C. 154(d)(4).				
· —	of the International Application under Frequired only if not communicated by the	•			
b. have been communic	cated by the International Bureau.				
c. have not been made;	however, the time limit for making suc	h amendments has NOT expired.			
d. have not been made	and will not be made.				
8. An English language transla	ation of the amendments to the claims u	nder PCT Article 19 [35 U.S.C. 371(c)(3)].			
9. X An oath or declaration of th	e inventor(s) [35 U.S.C. 371(c)(4)].	- unsigned			
10. An English language transla	ation of the annexes to the International	Preliminary Examination Report under PCT			
Article 36 [35 U.S.C. 371(c)(5)]. Items 11 to 20 below concern other document(s) or information included:					
11. An Information Disclosure	Statement under 37 CFR 1.97 and 1.98	•			
12. An assignment document for is included.	or recording. A separate cover sheet in	compliance with 37 CFR 3.28 and 3.31			
13. A preliminary amendment.					
14. An Application Data Sheet	under 37 CFR 1.76.				
15. A substitute specification.					
16. A power of attorney and/or	change of address letter.				
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.					
18. A second copy of the publis	shed International Application under 35	U.S.C.154(d)(4).			
19. A second copy of the Engli	sh language translation of the internation	onal application under 35 U.S.C.154(d)(4).			
20. Other items or information:					
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✓ No. Substitute Approve	e for PTO-1390 (Rev. 1 d for use through 3/31/	2-2004 "Transmittal Le 2007. 06 21	etter to the United States Designate	ed/Elec	ted Office(DC *	VUS)Concerning a Submission	on Under 35 U.S.C. 371"
U.S. APPLICATION NO. (If known, see 37 INTERNATIONAL APPLICATION NO. CFR 1.5)				ATTORNEY'S DOCKET NUMBER			
	·····	PCT/U	S2003/021145			21125YP	T
21. The following	g fees are submitte	ed:					
a) Basic national fee\$300.00				\$300.00			
b) Examination fee \$200.00				\$200.00			
C) Search fee				\$	\$500.00	\$500.00	
	то	TAL OF ABOVE	CALCULATIONS =	\$:	1000.00	\$1000.00	
sequence listin	ng or computer pro		in paper over 100 sheets (in an electronic medium). tion thereof.				·
Total Sheets	Extra Sheets		h additional 50 or fraction up to a whole number)		RATE		
288 - 100 =	188 /50		4	х	\$250.00	\$1000.00	
Surcharge of \$130.0 claimed priority date			on later than 30 months fr	om th	e earliest	\$130.00	
CL'AIMS		ER FILED	NUMBER EXTRA		RATE		
Total Claims		19 - 20 =	0	Х	\$50.00	\$0.00	
Independent Claims		7 - 3 =	4	X	\$200.00	\$800.00	
MULTIPLE DEPE	NDENT CLAIM(S) (if applicable)		+	\$360.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS (SUBTOTAL) =				\$2,930.00			
Processing fee of \$1 earliest claimed price			nslation later than 30 mont	hs fro	om the	•	
		-	TOTAL NATIONAL	L FE	E =	\$2,930.00	
			21(h)]. The assignment mu. 28, 3.31). \$40.00 per prop		+		
			TOTAL FEES ENCL	OSEI) =	\$2,930.00	
						Amount to be refunded:	
			·			Amount to be charged:	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 13-2755 in the amount of \$2,930.00 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to the Deposit Account No. 13-2755. A duplicate copy of this sheet is enclosed.							
			CFR 1.494 or 1.495 has n tional Application to pen			ition to revive [37 CF	R 1.137(a) or
	RRESPONDENC						
Customer No	······					4	.e
MERCK & CO.,						M. Gies	
Patent Departme P.O. Box 2000	nt, RY60-30		<u></u>	A A	TIRE	M, Du	con _
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Kanway, New Je	rsey 07065-0970			nne N	M. Giesser		
DATE: January	7, 2005					·	
PHONE #: (732) 594-3046 32,838 REGISTRATION NUMBER							